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NOTICE OF ALLOWANCE AND FEE(S) DUE

60909

7590

09/07/2010

CYPRESS SEMICONDUCTOR CORPORATION 198 CHAMPION COURT SAN JOSE, CA 95134-1709 EXAMINER
PROCTOR, JASON SCOTT

ART UNIT PAPER NUMBER

2123

DATE MAILED: 09/07/2010

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
Ī	10/001,478	11/01/2001	Craig Nemecek	CD01213M	6435

TITLE OF INVENTION: IN-CIRCUIT EMULATOR AND POD SYNCHRONIZED BOOT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	12/07/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 60909 09/07/2010 Certificate of Mailing or Transmission CYPRESS SEMICONDUCTOR CORPORATION I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 198 CHAMPION COURT SAN JOSE, CA 95134-1709 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/001.478 11/01/2001 Craig Nemecek CD01213M 6435 TITLE OF INVENTION: IN-CIRCUIT EMULATOR AND POD SYNCHRONIZED BOOT APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$0 \$0 \$1510 12/07/2010 **EXAMINER** ART UNIT CLASS-SUBCLASS PROCTOR, JASON SCOTT 703-025000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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198 CHAMPION COURT			ART UNIT	PAPER NUMBER
SAN JOSE, CA 95	5134-1709		2123	
			DATE MAILED: 09/07/2010	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 857 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 857 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)		
	10/001,478	NEMECEK ET AL.		
Notice of Allowability	Examiner	Art Unit		
	JASON PROCTOR	2123		
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this a or other appropriate communication (GHTS). This application is subject	pplication. If not included on will be mailed in due course. THIS		
1. This communication is responsive to <u>8/31/2010</u> .				
2. The allowed claim(s) is/are <u>1,2,4-10,12 and 14-23</u> .				
3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the:				
 Certified copies of the priority documents have 	been received.			
Certified copies of the priority documents have				
Copies of the certified copies of the priority do	cuments have been received in this	s national stage application from the		
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		y complying with the requirements		
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give				
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.			
(a) ☐ including changes required by the Notice of Draftspers		0-948) attached		
1) hereto or 2) to Paper No./Mail Date	•	, ,		
· — · — · — — — — — — — — — — — — — — —		Office action of		
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date				
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t				
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT				
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of Informal	Potent Application		
<u> </u>				
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summar Paper No./Mail D			
3. X Information Disclosure Statements (PTO/SB/08),	7. Examiner's Amend	dment/Comment		
Paper No./Mail Date <u>8/31/2010</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. ⊠ Examiner's Staten	nent of Reasons for Allowance		
of Biological Material	9.			
/Jason Proctor/				
Primary Examiner, Art Unit 2123				
•				

NOTICE OF ALLOWANCE

Claims 1, 2, 4-10, 12, and 14-23 were allowed in the Office Action entered on 2 June 2010.

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under Ex Parte Quayle, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 31 August 2010 has been entered.

Claims 1, 2, 4-10, 12, and 14-23 are allowed.

Information Disclosure Statement

- 1. The information disclosure statement (IDS) submitted on 31 August 2010 was filed after the mailing date of the Notice of Allowance on 2 June 2010. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.
- 2. Regarding the 31 August 2010 Information Disclosure Statement ("the IDS"), the Examiner respectfully submits the following observations:
 - a) The IDS document contains 69 pages of citations of patent documents, foreign patent documents, and non-patent literature.

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b) The IDS includes citation of approximately 193 US Patent Documents, 25 US Pre-

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grant Publications, and 332 Non-Patent Literature References.

c) The Examiner has considered the first 20 references and is unable to ascertain their

relevance to the current claimed invention. For example, the first cited reference, US

Patent Application Number 09/964,991 (CD00196) original disclosure titled "A Novel

Band-Gap Circuit for Providing an Accurate Reference Voltage Compensated for Process

State, Process Variations and Temperature" by Kutz et al. appears to be unrelated to the

claimed invention in this application.

3. Therefore, the Examiner respectfully submits that:

a) The IDS identifies an unusually large number of references, representing an unusually

large volume of technical disclosure. The Examiner is unable to reasonably determine

the full scope of the teachings found in these references.

b) It is unclear how the references cited on the IDS are "material to the patentability" to

the pending claims in this application. It is unclear how the references cited on the IDS

are relevant to the disclosed invention in this application.

4. In conclusion, the Examiner has made a reasonable effort to consider the references cited

on the IDS, but is unable to determine the full scope of the teachings found in those references.

The Examiner is unable determine how the references cited on the IDS are relevant or material to

the patentability of the pending claims. Nevertheless, as indicated above, the Examiner has

considered the IDS and has provided an initialed copy of the forms PTO-1449.

5. 37 CFR 1.98(a)(2) requires that Applicants provide the office with a legible copy of (i) each foreign patent and (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office. In the 31 August 2010 IDS, the follow citations have been lined through because the required legible copy of the reference has not been found in the papers submitted to the office:

Page 7, references 25 and 27

Page 13, references 1-3

Page 16, references 1-2

Page 17, references 3-8, and 11-12

Page 20, references 4-11

Page 21, reference 12

Page 24, reference 1

Page 29, reference 2

Page 31, references 1-2, 4, and 8-10

Page 32, references 11-21

Page 33, references 22-31

Page 39, references 8-16

Page 40, references 1-4 and 6-11

Page 41, references 12-15 and 17-22

Page 42, references 23-33

Page 43, references 34-44

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Page 44, references 45-48

Page 45, references 1-11

Page 46, references 12-22

Page 47, references 23-33

Page 48, reference 34

Page 50, references 1-11

Page 51, references 12-17

Page 59, references 1-7

Page 60, references 8-18

Page 61, references 19-29

Page 62, references 30-35 and 38-40

Page 63, references 41-50

Page 67, references 1-8

Page 68, references 9-19

Page 69, references 20-23

In addition to these 231 missing documents, the following citations were lined through:

Pages 4-5, the foreign references 1-4 have not been found in the papers submitted to the Office. However, similar documents which appear to be partial translations of those foreign documents have been found. Those partial translations are clearly not the foreign documents cited on the IDS.

Page 19, reference 2 appears to cite a US Patent Application disclosure, but that document has not been found. However, a similar document which appears to be amendments to a US Patent Application specification has been found.

Page 23, reference 1 appears to cite a USPTO Requirement for Restriction/Election, but that document has not been found. However, a similar document which appears to be a non-final Office Action has been found.

Reasons for Allowance

6. An Examiner's statement of reasons for allowance is found in the 2 June 2010 Notice of Allowance.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason Proctor whose telephone number is (571) 272-3713. The examiner can normally be reached on 8:30 am-4:30 pm M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Rodriguez can be reached at (571) 272-3753. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application should be directed to the TC 2100 Group receptionist: 571-272-2100. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Jason Proctor/ Primary Examiner, Art Unit 2123

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